



INTELLIGENCE

UNDER SECRETARY OF DEFENSE
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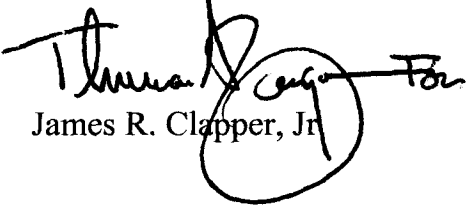
MEMORANDUM FOR SECRETARIES OF THE MILITARY DEPARTMENTS
CHAIRMAN OF THE JOINT CHIEFS OF STAFF
COMMANDERS OF THE COMBATANT COMMANDS
GENERAL COUNSEL OF THE DEPARTMENT OF DEFENSE
INSPECTOR GENERAL OF THE DEPARTMENT OF
DEFENSE
DIRECTORS OF THE DEFENSE AGENCIES
DIRECTORS OF THE DOD FIELD ACTIVITIES

SUBJECT: Operational Approval of the Preliminary Credibility Assessment
Screening System (PCASS)

I approve the PCASS for use in the Department of Defense (DoD) to aid decision-making during the initial screening of non-U.S. persons of interest for intelligence and security purposes. The PCASS shall be used as a credibility assessment technology according to the attached interim guidance for operational use.

The polygraph and the PCASS are the only approved credibility assessment technologies in DoD. However, research and improvements on other potential credibility assessment tools continue to be a priority for DoD.

The point of contact is Kevin Shaw, Office of the Deputy Under Secretary of Defense for Counterintelligence and Security, (703) 601-4882, kevin.shaw@osd.mil.


James R. Clapper, Jr.

Attachment:
As stated



DEPARTMENT OF DEFENSE INTERIM GUIDANCE FOR PRELIMINARY CREDIBILITY ASSESSMENT SCREENING SYSTEM (PCASS)

1. PURPOSE

This document authorizes the use of the PCASS within the Department of Defense and establishes PCASS interim guidance and procedures for operational use. The revision of DoD 5210.48-M, Polygraph and Credibility Assessment Procedures, shall include PCASS.

2. APPLICATION AND RESTRICTIONS

2.1. The PCASS may be used as a field expedient credibility assessment tool to screen persons of interest for intelligence and security purposes.

2.2. PCASS instrumentation, techniques, procedures, and certification shall be employed and administered only as prescribed by the Defense Academy for Credibility Assessment (DACA) and this policy.

2.3. PCASS examination results shall not be the sole means to validate information of intelligence value.

2.4. The PCASS shall not be used to test U.S. persons.

2.5. PCASS software shall not be modified, copied, or transferred to a non-PCASS system.

3. RESPONSIBILITIES

3.1. The Director, DoD Counterintelligence Field Activity (DoD CIFA) shall:

3.1.1. Approve PCASS equipment and software.

3.1.2. Establish standards for PCASS equipment, software, techniques, procedures, training, certification, examinations, and record keeping.

3.1.3. Establish a process to monitor responsible and effective application of PCASS examinations.

3.1.4. Continue to research and evaluate PCASS techniques and procedures.

3.2. The Director, Defense Academy for Credibility Assessment (DACA), shall:

3.2.1. Develop and provide PCASS training and continuing education.

3.2.2. Approve all DoD PCASS training and examination procedures.

3.2.3. Assist the DoD Components in developing procedures, internal controls, and structure to effectively implement a PCASS program.

3.2.4. Incorporate PCASS program reviews into the Quality Assurance Program (QAP) inspection schedule. Conduct an initial PCASS program inspection within six months after a DoD Component establishes a PCASS program and, thereafter, conduct at least biennial oversight inspections.

3.3. The Heads of the DoD Components shall:

3.3.1. When establishing a PCASS program, coordinate with DACA to develop comprehensive written procedures for effective management and oversight.

3.3.2. Before PCASS program implementation, appoint a PCASS manager to coordinate and deconflict Component PCASS activities, participate in PCASS committees and working groups, and facilitate PCASS data collection in support of ongoing research and validation efforts.

3.3.3. Delegate, in writing, officials authorized to approve PCASS examinations.

3.3.4. Establish adequate record keeping procedures in accordance with DoD CIFA standards.

3.3.5. Establish procedures for accountability of PCASS related equipment.

3.3.6. Monitor the training and certification of PCASS operators and supervisors.

3.3.7. Within six months of establishing a PCASS program, and at least biennially thereafter, coordinate with DACA to receive a QAP program review.

4. TRAINING & PROCEDURES

4.1. Only certified personnel may conduct PCASS examinations.

4.1.1. PCASS certification requires an internship period of at least 25 examinations following DACA-approved training. At a minimum, the intern's first 10 PCASS examinations require on-site monitoring and real time-audio monitoring of the next 15 examinations.

4.2. Every PCASS examination must employ a DACA-approved protocol for conducting the test.

4.3. The PCASS operator must obtain the examinees voluntary consent before conducting an examination.

4.4. The PCASS operator shall prepare an examination report for each PCASS examination conducted.

4.5. PCASS examinations files shall be maintained for at least 20 years.

4.6. All PCASS examinations and the corresponding report shall undergo a supervisory quality control review.